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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/001,240	11/14/2001	Peter Martyn	09857-072001

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 9278

FORMALITIES LETTER



\*OC00000007226931\*

DENIS G. MALONEY  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Date Mailed: 12/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**


**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$36.
  - \$36 for 2 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 906.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/11/2002 NMOHAMM1 00000097 10001240

01 FC:101	740.00 DP
02 FC:103	36.00 DP
03 FC:105	130.00 DP



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Attorney's Docket No.: 09857-072001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Martyn and Karen Peterson Art Unit : 2161  
Serial No. : 10/001,240 Examiner : Unknown  
Filed : November 14, 2001  
Title : ODD LOT PROCESSING IN CENTRALIZED AUTOMATED MARKET  
SYSTEM

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed December 26, 2001 (copy enclosed), applicant as a large entity submits herewith the following:

- ☒ A check in the total amount of \$906 is attached for: payment of the basic filing fee of \$740, payment of the additional claims fees of \$36, and payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- ☒ A Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☒ Postcard.

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

February 26, 2002

Date of Deposit

Signature

Typed or Printed Name of Person Signing Certificate

*USA G. Gray*  
USA G. Gray

Applicant : Peter Martyn and K Peterson  
Serial No. : 10/001,240  
Filed : November 14, 2001  
Page : 2

Attorney's Docket No.: 09857-072001

Respectfully submitted,

Date:

February 26, 2002



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